

## 3D Shape Description and Matching Based on Properties of Real Functions

### Comparison Methodologies

**EG** Eurographics 2007 Tutorial T12

Speaker  
Simone Marini  
CNR-IMATI-GE - Italy



### Evaluating the matching characteristics

- ✓ **Properties** of the similarity measure
- ✓ **Robustness** of the similarity measure
  - Low variation of the measure wrt *small* variations of the shape descriptor
- ✓ **Type of comparison**
  - global and/or partial matching
- ✓ **Type of information** taken into account
  - geometrical, topological, structural
- ✓ **Computational complexity** of the matching algorithm
- ✓ **Application context**



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### Properties of similarity measures

- ✓ Let **S** be the set of shape descriptors, the distance measure **d** between two shapes descriptors is defined as:

$$d : S \times S \rightarrow \mathbb{R}$$

- ✓ Properties:

- $d(x, x) = 0$  (self identity)
- $d(x, y) > 0, x \neq y$  (positivity)
- $d(x, y) = d(y, x)$  (symmetry)
- $d(x, z) \leq d(x, y) + d(y, z)$  (triangular inequality)
- $d(x, z) \leq \max \{d(x, y), d(y, z)\}$  (strong t. i.)



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### Properties of similarity measures

- ✓ The measure properties are grouped as in the following:
  - **semi-metric**: self-identity, positivity, symmetry
  - **pseudo-metric**: self-identity, symmetry, triangular inequality
  - **metric**: a pseudo-metric that satisfies the positivity
  - **ultra-metric**: a metric satisfying strong triangular inequality
- ✓ The perceptual space can be approximated by the metric properties? [Tve77, SJ99]
  - symmetry and triangular inequality should not holds for partial matching
- ✓ Metrics can be used for indexing purposes



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### Type of comparison

- ✓ **Global Matching**:
  - Overall shape comparison
  - Real number representing the similarity estimation between the two objects
- ✓ **Sub-Part Correspondence**:
  - Real number as similarity estimation
  - Mapping among similar sub-parts
- ✓ **Partial Matching**:
  - Real number as similarity estimation
  - Similar sub-parts between objects having different overall shape



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### Type of information taken into account

- ✓ according to the type of information stored and the way it is coded in the descriptor, the measure of similarity may take into account:
  - geometric information
  - topologic information
  - structural information



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### Comparison methodologies

- ✓ for descriptors represented by matrices and vectors
  - Spherical Harmonic representation [KFR03]
  - Shape DNA [RWP06]
  - Bending Invariant Surface Signatures [EK03, BBK06]
  - Spectral Embedding [JZ07]
  - Pose-oblivious shape signature [GSCO07]
  - Salient geometric features [GCO06]
- ✓ for descriptors represented by graphs
  - Multiresolution Reeb Graphs [HSKK01]
  - Extended Reeb Graphs [BMSF06]
- ✓ for descriptors represented by formal series
  - Size functions [dFL], [dAFL05]
  - Barcodes and persistence diagrams [CZCG05]

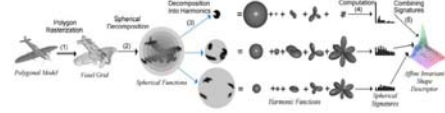


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### Spherical Harmonic representation [KFR03]

- ✓ Represent a function  $f$  defined on the sphere through its spherical harmonics and consider the vector of energies (i.e. frequency norms)
- ✓ Extension to voxel description:
  - Restrict the voxel grid to a collection of concentric spheres
  - Represent each spherical restriction in terms of the energy of its frequency decomposition, thus obtaining a 1D descriptor
  - The final descriptor resulting from the analysis of spheres with different radii is a 2D grid indexed by radius and frequency
- ✓ 2D descriptors are compared by using the  $L_2$  norm



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### Spherical Harmonic representation: matching characteristics [KFR03]

- ✓ **Properties** of the similarity measure
  - metric
- ✓ **Robustness** of the similarity measure
  - induced by the properties of metrics
- ✓ **Type of comparison**
  - global matching
- ✓ **Type of information** taken into account:
  - geometric information
- ✓ **Computational complexity** of the matching algorithm
  - linear in the number of entries stored in the 2D array
- ✓ **Application context**
  - retrieval of 3D objects, not suitable for articulated objects



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### Shape DNA [RWP06]

- ✓ Shape DNA signatures are  $m$ -dimensional feature vectors that can be compared using any metric between vectors, e.g. the Euclidean  $p$ -norm

$$d_p(u, v) = \left( \sum_{i=1}^m |u_i - v_i|^p \right)^{\frac{1}{p}}$$

the Hausdorff distance, the Pearson correlation distance

- ✓ according to empirical evidence,  $d_2$  yields good results while being easy to compute

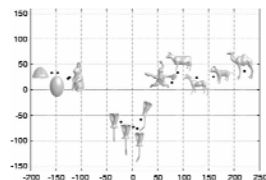


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### Shape DNA [RWP06]

- ✓ Matching results on a small database of meshes, including different classes of deformed models, show a nice clustering of objects



- ✓ Other experiments on collections of grey-scale and colour images [RWP07]
- ✓ Medical applications on brain surfaces [NRW07], using statistical methods to distinguish populations; extension to 3D brain data



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### Shape DNA: matching characteristics [RWP06]

- ✓ **Properties** of the induced similarity measure
  - metric (using the Euclidean  $p$ -norm)
- ✓ **Robustness** of the similarity measure:
  - induced by the robustness of metrics
- ✓ **Type of comparison**: global matching
- ✓ **Type of compared information**
  - the descriptor stores geometric and topological information, but it is difficult to control them in a differentiated manner in the definition of the measure
- ✓ **Computational complexity** of the matching algorithm
  - $p$ -norms are linear in the number of vertices of the model
- ✓ **Application context**:
  - medical applications, suitable for articulated objects



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### Bending Invariant Surface Signatures [EK03]

- ✓ Given the surface signatures, any algorithm to evaluate the similarity of rigid objects can be involved in the comparison step
- ✓ Example: Compute the vectors of the first few moments of the surfaces and compute their Euclidean distance



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### Bending Invariant Surface Signatures [EK03]

- ✓ **Properties** of the induced similarity measure
  - Depends on the matching method used
- ✓ **Robustness** of the similarity measure
  - Depends on the matching method used
- ✓ **Type of comparison**
  - Global or partial matching depending on the matching method used
- ✓ **Type of compared information**
  - Depends on the matching method used
- ✓ **Computational complexity** of the matching algorithm
  - Depends on the matching method used
- ✓ **Application context**
  - face recognition



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### Spectral Embedding [JZ07]

- ✓ Compare shapes by computing existing shape descriptors (Light Field, Spherical Harmonics) on spectral embeddings
- ✓ Use the vectors of normalized eigenvalues and define:

$$D_{EVD}(Q, S) = \frac{1}{2} \sum_{i=1}^m \frac{\left| \lambda_i^Q \right|^{\frac{1}{2}} - \left| \lambda_i^S \right|^{\frac{1}{2}} \right|^2}{\left| \lambda_i^Q \right|^{\frac{1}{2}} + \left| \lambda_i^S \right|^{\frac{1}{2}}}$$

- ✓ Compute a correspondence cost derived from the correspondence between the vertices of the two shapes (possibly after a first filter using EVD)

$$D_{CCD}(Q, S) = \sum_{p \in Q} \|V_Q(p) - V_S(\text{match}(p))\|$$

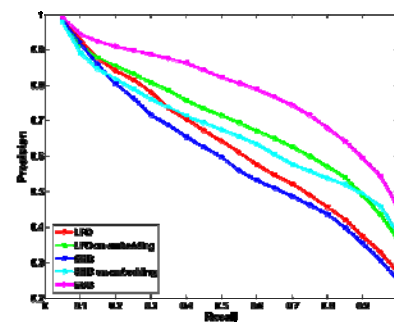


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### Spectral Embedding [JZ07]

Precision-Recall plot for McGill database



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### Spectral Embedding: matching characteristics [JZ07]

- ✓ **Properties** of the induced similarity measure

- $D_{EVD}(Q, S) = \frac{1}{2} D(f, g)$ ;
- $D(f, g) = \chi^2 = \int \frac{(f-g)^2}{f+g}$ ,  $f = \left| \lambda_i^Q \right|^{\frac{1}{2}}$ ,  $g = \left| \lambda_i^S \right|^{\frac{1}{2}}$
- $\chi^2$  is a semi-metrics if  $f$  and  $g$  are positives

- ✓ **Robustness** of the similarity measure
  - induced by the robustness of metrics



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### Spectral Embedding: matching characteristics [JZ07]

- ✓ **Type of comparison**

- Global matching

- ✓ **Type of compared information**

- geometric and topological information

- ✓ **Computational complexity** of the matching algorithm

- $D_{EVD}$  is linear in the number of vertices of the embedded model

- ✓ **Application context**

- suitable for articulated objects



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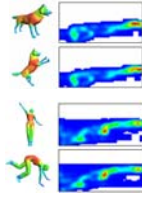
### Pose-oblivious shape signature[GCO06]

- ✓ The pose oblivious is a 2D histogram that combines local diameter function and centrality function both defined on the boundary surface of the 3D shape.

- ✓ Matching:
  - correlation coefficient:

$$R(P, Q) = \frac{N \sum p_i q_i - \sum p_i \sum q_i}{\sqrt{(N \sum p_i^2 - (\sum p_i)^2)(N \sum q_i^2 - (\sum q_i)^2)}}$$

$$\chi^2 = D(f, g) = \int \frac{(f - g)^2}{f + g}$$



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### Pose-oblivious shape signature : matching characteristics [GSCO07]

- ✓ **Properties** of the similarity measure
  - $\chi^2$  is a semi-metrics if  $f$  and  $g$  are positives
  - correlation coefficient is a semi-metric
- ✓ **Robustness** of the similarity measure
  - induced by the properties of measures
- ✓ **Type of comparison**
  - global matching
- ✓ **Type of information** taken into account
  - the descriptor stores geometric information
- ✓ **Computational complexity** of the matching algorithm
  - linear in the number of entries stored in the 2D array
- ✓ **Application context**
  - retrieval of 3D objects, suitable for articulated objects



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### Salient geometric features [GCO06]

- ✓ Each salient feature is associated with a vector index (a signature) and inserted into a geometric hash table
- ✓ Given a query object, its salient feature are extracted and used to query the database for a list of matching features
- ✓ The returned features identify the models having larger number of matches.



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### Salient geometric features [GCO06]

- ✓ The vector index used in the hash table encode the following information:
  - area of the salient feature
  - curvature of the salient feature
  - number of local minimum(s) or maximum(s) curvatures in the salient feature
  - the curvature variance in the salient feature
- ✓ The similarity between objects is given by the number of correspondence among the salient features



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### Salient geometric features : matching characteristics [GCO06]

- ✓ **Properties** of the similarity measure
  - similarity measure is not proposed by authors
- ✓ **Type of comparison**
  - Sub-part correspondence and partial matching.
- ✓ **Type of information** taken into account:
  - geometric information
- ✓ **Computational complexity** of the matching algorithm
  - depends on the geometric hashing used
- ✓ **Application context**
  - retrieval of 3D objects, object alignment

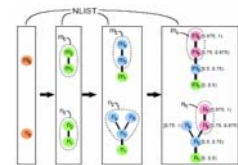


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### Multiresolution Reeb Graph [HSKK01]

- ✓ Similarity between two nodes  $P, Q$  is weighted on their attributes:
 
$$\text{sim}(P, Q) = \alpha |A(P) - A(Q)| + (1 - \alpha) |L(P) - L(Q)|, 0 < \alpha < 1$$
- ✓ Nodes with maximal similarity are paired if:
  - Share the same range of  $f$
  - Parent nodes are matched
  - Belong to graph paths already matched
- ✓ The distance between two MRGs is the sum of all node similarities



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### Multiresolution Reeb Graph: matching characteristics [HSKK01]

- ✓ **Properties** of the induced similarity measure
  - metric
- ✓ **Robustness** of the similarity measure
  - stability properties of metric
- ✓ **Type of comparison**
  - global matching (suitable also for sub-part correspondence and partial matching)
- ✓ **Type of compared information**
  - structural and geometric information
- ✓ **Computational complexity** of the matching algorithm
  - $O(M \cdot (M+N))$  where M and N is the number of nodes of the two multiresolution graphs
- ✓ **Application context**
  - Retrieval of free form objects

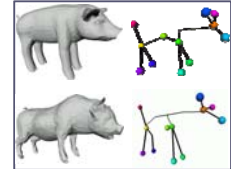
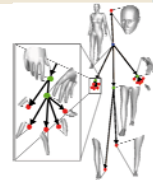


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### Extended Reeb Graphs [BMSF06]

- ✓ Two ERGs are compared using a graph-matching approach based on the “best common subgraph” detection
- ✓ Also sub-part correspondences are recognized
- ✓ Heuristics are used to improve
  - Quality of the results
  - Computational time



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### Extended Reeb Graphs [BMSF06]

- ✓ Given  $G_1$  and  $G_2$ , two direct, acyclic and attributed graphs:

- the distance  $d$  between two nodes  $v_1 \in G_1$  and  $v_2 \in G_2$  is

$$d(v_1, v_2) = \frac{w_1 G_s + w_2 St_s + w_3 Sz_s}{3} \quad \begin{matrix} w_i \in [0,1] \\ \sum w_i = 1 \end{matrix}$$

- the distance  $D(G_1, G_2)$  depends both on the geometry and the structure of the objects:

$$D(G_1, G_2) = 1 - \frac{\sum_{v \in G} (1 - d(\psi_1(v), \psi_2(v)))}{\max(|G_1|, |G_2|)}$$

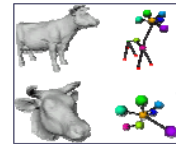
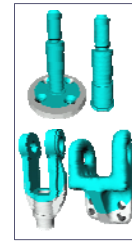
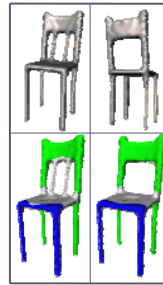


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### Extended Reeb Graphs [BMSF06]

- ✓ Some examples of sub-part correspondence and partial matching



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### Extended Reeb Graphs: matching characteristics [BMSF06]

- ✓ **Properties** of the Induced similarity measure
  - semi-metric
- ✓ **Robustness** of the similarity measure
  - Stability properties of semi-metrics
- ✓ **Type of comparison**
  - global matching, sub-part correspondence and partial matching
- ✓ **Type of compared information**
  - structural and geometric information
- ✓ **Computational complexity** of the matching algorithm
  - $O(n^3)$  where n is  $\max\{|G_1|, |G_2|\}$
- ✓ **Application context**
  - free form objects and CAD models



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### Matching distance between 1-dimensional size functions [dAFL06]

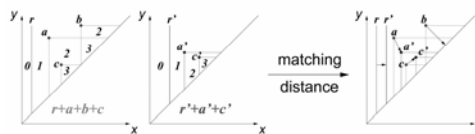
- ✓ Two size functions  $\ell_1, \ell_2$ , with associated formal series  $C_1$  and  $C_2$  can be compared by measuring the reciprocal distances of cornerpoints and cornerlines

$$\delta((x, y), (x', y')) = \min \left\{ \max\{|x - x'|, |y - y'|\}, \max \left\{ \frac{y - x}{2}, \frac{y' - x'}{2} \right\} \right\}$$

and choosing the matching which minimizes the maximum of these distances

$$d_{\text{match}}(\ell_1, \ell_2) = \min_{\sigma} \max_{p \in C_1} \delta(p, \sigma(p))$$

when  $\sigma$  varies among the bijections between  $C_1$  and  $C_2$



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### Matching distance between 1-dimensional size functions [dAFL06]

- ✓ Matching Stability Theorem:  
The matching distance satisfy the following stability condition:

$$\max_{P \in M} |\varphi(P) - \psi(P)| \leq \epsilon \Rightarrow d_{\text{match}}(\ell_{(M, \varphi)}, \ell_{(M, \psi)}) \leq \epsilon.$$

- ✓ Lower bound for the natural pseudo-distance:  
Let  $\lambda$  be the value of matching distance between the two size functions  $\ell_{(M, \varphi)} \in \ell_{(N, \psi)}$ . Then

$$d((M, \varphi), (N, \psi)) \geq \lambda.$$



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### Matching distance between multidimensional size functions [BCF\*07]

- ✓ On each leaf of a particular foliation of their domain, multidimensional size functions coincide with a particular 1-dimensional size function
- ✓ the induced 1D matching distance on each leaf of the foliation is stable wrt small changes of the leaves;
- ✓ a multidimensional matching distance can be defined

$$D_{\text{match}}(\ell_{(M, \varphi)}, \ell_{(N, \psi)}) = \sup_{(i, b)} \min_{i=1, \dots, k} l_i \cdot d_{\text{match}}(\ell_{(M, F_{(i, b)}^x)}, \ell_{(N, F_{(i, b)}^y)})$$

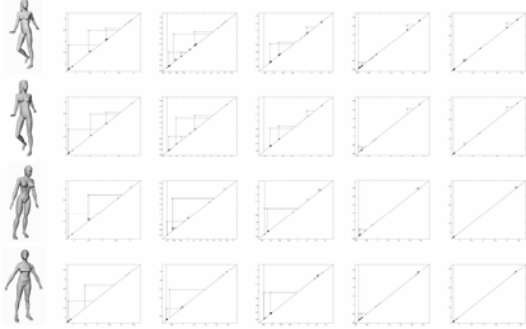
- ✓ theorems about the stability of the matching distance and the lower bound for the natural pseudo distance can be stated also in the case  $k > 1$



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### Multidimensional Size Functions [BCF\*07]



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### Size Functions: matching characteristics [dAFL06, BCF\*07]

- ✓ **Properties** of the induced similarity measure
  - the matching distance is a metric
  - it provides a lower bound for the natural pseudo-distance
- ✓ **Robustness** of the similarity measure
  - stability theorem for the matching distance
- ✓ **Type of comparison**
  - global matching
- ✓ **Type of compared information**
  - geometric-topological
- ✓ **Computational complexity** of the matching algorithm
  - $O(n^2.5)$ , where  $n$  is the number of cornerpoints taken into account
- ✓ **Application context**
  - Medical images, trademarks recognition, 3D retrieval



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### Barcodes [CZCG05]

- ✓  $I, J$  intervals in a barcode,  $\delta(I, J) = |I \cup J - I \cap J|$
- ✓ A matching between barcodes  $S_1, S_2$  is the set  $M(S_1, S_2) \subseteq S_1 \times S_2 = \{(I, J) \text{ s.t. } I \in S_1, J \in S_2\}$  s.t. any interval in  $S_1$  or  $S_2$  occurs in at most one pair  $(I, J)$

- ✓ Distance between  $S_1, S_2$  relative to  $M$

$$D_M(S_1, S_2) = \sum_{(I, J) \in M} \delta(I, J) + \sum_{L \in N} |L|$$

with  $N$  the set of non matched intervals



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### Barcodes [CZCG05]

- ✓ Barcode pseudo-metric:

$$D(S_1, S_2) = \min_M D_M(S_1, S_2)$$

- ✓ Minimizing  $D_M$  is equivalent to maximizing the similarity

$$S_M(S_1, S_2) = \frac{1}{2} \left( \sum_{S_1} |I| + \sum_{S_2} |J| - D_M(S_1, S_2) \right)$$

- ✓ Recasting the problem as a graph problem, such minimization is equivalent to the well known maximum weight bipartite matching problem

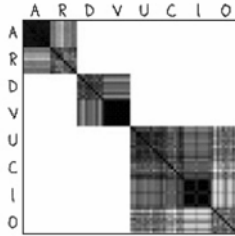


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### Barcodes [CZCG05]

- ✓ Examples on mathematical surfaces
- ✓ Classification results on a set of 80 hand-drawn copies of letters



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### Persistence Diagrams [CSEH07]

- ✓ Describing  $\mathcal{P}$ -intervals as point sets in the extended plane, i.e. by persistence diagrams, the Bottleneck Stability Theorem has been proved
- ✓ Under conditions on the space and the functions  $f, g$ , it holds that the Bottleneck distance between persistence diagrams  $D(f), D(g)$  satisfies

$$d_B(D(f), D(g)) \leq \|f - g\|_\infty$$

where  $d_B$  is defined as

$$d_B(X, Y) = \inf_{\gamma} \sup_x \|x - \gamma(x)\|_\infty$$

with  $X, Y$  multisets of points,  $x \in X, y \in Y$  range over all points and  $\gamma$  ranges over all bijections from  $X$  to  $Y$

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### Barcodes and Persistence Diagrams [CSEH07]

- ✓ In terms of persistence diagrams, the distance defined for barcodes can be written

$$d(D_1, D_2) = \inf_{\gamma} \sum_p \|p - \gamma(p)\|_1$$

with  $\gamma$  ranging in the set of bijections between  $D_1$  and  $D_2$ , but this distance does not guarantee the stability property proven for persistence diagrams under the Bottleneck distance

- ✓ Under certain assumptions, the Barcode Theorem holds, guaranteeing the stability property under the Bottleneck distance

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### Barcodes and persistence diagrams: matching characteristics [CZCG05, CSEH07]

- ✓ **Properties** of the induced similarity measure
  - pseudo-metric between barcodes
  - metric between persistence diagrams
- ✓ **Robustness** of the similarity measure
  - stability theorems for barcodes and persistence diagrams under the Bottleneck distance
- ✓ **Type of comparison**
  - global matching
- ✓ **Type of compared information**
  - geometric-topological
- ✓ **Computational complexity** of the matching algorithm
  - for the pseudo-metric between barcodes, it depends on the algorithm used to minimize  $D(s_1, s_2)$
- ✓ **Application context:** 3D and curve PCD comparison

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### remarks

- ✓ Most of the discussed methods use descriptors encoded as matrices or vectors
- ✓ Very few results on robustness of the similarity measure
- ✓ Very few methods deals with partial matching

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QUESTIONS?

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