

3D Shape Description and Matching Based on Properties of Real Functions

## Real functions

**EG** Eurographics 2007 Tutorial T12

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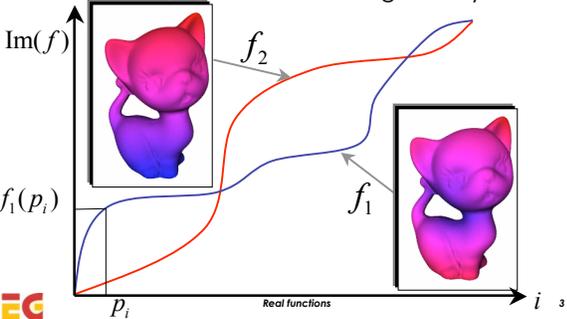

### Outline

- ✓ Real functions on smooth/discrete surfaces:
  - General considerations
  - Differential and combinatorial properties
    - critical points
    - Euler formula.
  - Definitions
    - Height and elevation
    - Euclidean/geodesic distance function
    - Curvature-based functions
    - Local diameter
    - Harmonic functions and Laplacian eigenfunctions.
  - Properties
    - saliency, smoothness, stability
    - robustness, degrees of freedom and heuristics
    - efficiency, invariance.

**EG** Real functions 2

How can we study the behavior of functions on  $M$ ?

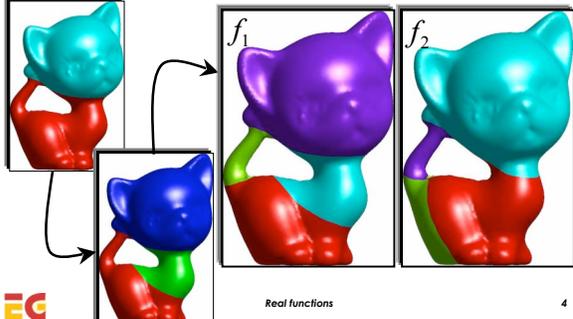
- ✓ Point-wise variation and statistical distribution of its values ( $\rightarrow$ pose-oblivious signature).



**EG** Real functions 3

How can we study the behavior of functions on  $M$ ?

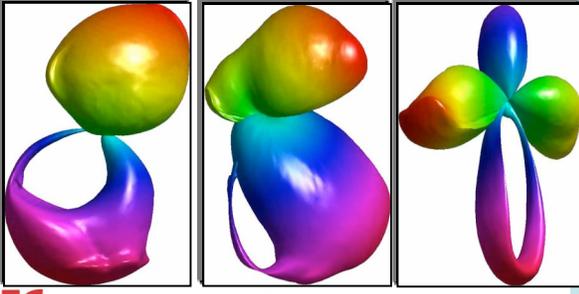
- ✓ Distribution and hierarchical organization of shape features wrt  $f$  ( $\rightarrow$ structural descriptors).



**EG** Real functions 4

How can we study the behavior of functions on  $M$ ?

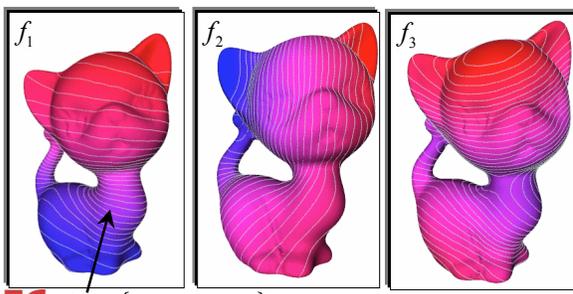
- ✓ Embedding in frequency spaces defined by  $M$  and  $f$  ( $\rightarrow$ spectral analysis).



**EG** Real functions 5

How can we study the behavior of functions on  $M$ ?

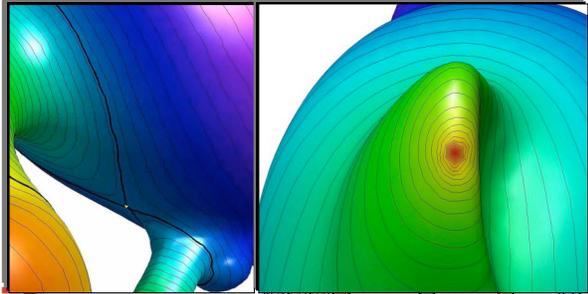
- ✓ Evolution of its (lower) level sets ( $\rightarrow$ Reeb graphs, size functions, persistent homology).



**EG**  $f^{-1}(\alpha) = \{p \in M : f(p) = \alpha\}$  Real functions 6

How can we study the behavior of functions on  $M$ ?

- Number, type, and locations of its critical points (eg, Reeb graph).



Real functions

max min saddle

Critical points [GP76,Mil63]

- Given a smooth function  $f$  defined on a manifold  $M$ :
  - a point  $x$  is called **critical** if the differential  $df_x$  is the zero map, that is,
 
$$\nabla f(x) = 0 \Leftrightarrow \frac{\partial f}{\partial x_1}(x) = 0, \frac{\partial f}{\partial x_2}(x) = 0, \dots, \frac{\partial f}{\partial x_k}(x) = 0$$
  - a point  $x$  is called **regular** if the differential  $df_x$  is surjective, that is,
 
$$\nabla f(x) \neq 0$$

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Critical points

- a critical point  $x$  is called **non-degenerate** if the Hessian matrix  $H$  of  $f$  is non-singular at  $x$ ; then,  $f$  is called **Morse** at a  $x$ 

$$\left| H_f(x) \right| = \left| \frac{\partial^2 f}{\partial x_i \partial x_j}(x) \right| \neq 0$$
- if  $x$  is a non-degenerate critical point of  $f$ , then the number  $\lambda$  of negative eigenvalues of  $H$  is called the **index** of  $x$ .
- The definition of critical points is **local** and **sensitive** to small perturbations of the surface.

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Morse functions

- On any smooth compact manifold there exist Morse functions.
- On a compact manifold, any Morse function has only a finite number of critical points.
- Morse functions are everywhere dense in the space of all smooth functions on the manifold.
- The set  $S$  of all simple Morse functions is everywhere dense in the set of all Morse functions.

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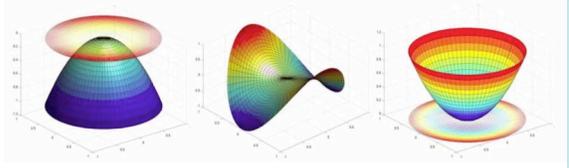
Morse Lemma & critical points

- Morse Lemma.**  
In a neighbourhood of each non-degenerate critical point  $x$ , the function  $f$  can be expressed as:
 
$$f = f(x) - y_1^2 - \dots - y_\lambda^2 + y_{\lambda+1}^2 + \dots + y_n^2$$
 where  $\lambda$  is the *index* of the critical point.
- Euler formula.**  

$$\#maxima - \#saddles + \#minima = \chi(M).$$

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Critical points classification



$f = -x^2 - y^2$	$f = -x^2 + y^2$	$f = x^2 + y^2$
Maximum $\lambda=2$	Saddle $\lambda=1$	Saddle $\lambda=0$

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### Functions on 3D shapes: discrete case

Define  $f$  on the mesh vertices and extend  $f$  to the edges and faces by using barycentric coordinates.

$M \subset \mathbb{R}$

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### Linear approximation: barycentric coordinates

$f$  is uniquely determined by its values on the surface vertices of  $M$ .

$$p = \lambda_1 x_1 + \lambda_2 x_2 + \lambda_3 x_3 \Leftrightarrow f(p) = \lambda_1 f(x_1) + \lambda_2 f(x_2) + \lambda_3 f(x_3)$$

$$\lambda_i \geq 0, i = 1, 2, 3, \lambda_1 + \lambda_2 + \lambda_3 = 1$$

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### Critical points classification [Ban67]

✓ Each vertex  $p_i$  of  $M$  is classified according to the values of  $f$  on its **1-star**, which is defined as  $N(i) := \{j : (i, j) \text{ is an edge}\}$ .

**Link of i**  $Lk(i) := \{j_1, \dots, j_k \in N(i) : (j_s, j_{s+1}) \text{ edge}\}$

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### Critical points: minimum/maximum

✓  $p_i$  is a **maximum** (resp. **minimum**) if

$$f(p_i) > f(p_j) \quad j \in N(i)$$

(resp.  $f(p_i) < f(p_j)$ )

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### Critical points: saddle

✓ Let  $Lk^\pm(i) := \{(j_s, j_{s+1}) \in Lk(i) : f(j_{s+1}) > f(p_i) > f(j_s)\}$  be the mixed link of  $i$ . Then,  $p_i$  is a saddle if

$$\text{card}(Lk^\pm(i)) = 2 + 2m, \quad m \geq 1$$

**multiplicity**

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### Critical point properties: discrete case [Ban67, Ban70]

✓ If  $f$  is **general** (ie,  $f(x) \neq f(y)$ , whenever  $x$  and  $y$  are distinct vertices of  $M$ ), then the critical points of  $(M, f)$

- satisfy the Euler formula

$$\chi(M) = \# \text{minima} - \# \text{saddles} + \# \text{maxima},$$

where saddles are counted with their multiplicity  $m$

- are located where the topological changes of  $(M, f)$  happen.

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## Examples

- ✓ Common choices of  $f$  are:
  - Height and elevation
  - Distance functions:
    - Euclidean-based
    - geodesic-based
  - Curvature-based functions
  - Local diameter
  - Laplacian-based functions:
    - Harmonic functions
    - Laplacian eigenfunctions
  - ...



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Evaluating the properties of  $f$ 

- ✓ **Saliency:** ability to measure the shape features we are focusing on.
- ✓ **Smoothness:** behavior of  $f$  wrt the *nature* of its critical points.
- ✓ **Stability** wrt discretization and computation.
- ✓ **Robustness:** low variation of the  $f$  values wrt small changes of the shape.
- ✓ **DoF and heuristics:** number and type of parameters involved in the definition and/or computation of  $f$ .
- ✓ **Efficiency:** computational cost.
- ✓ **Invariance:** number/type/position of the critical points and the shape of the level-sets are "invariant" wrt a group of transformations.

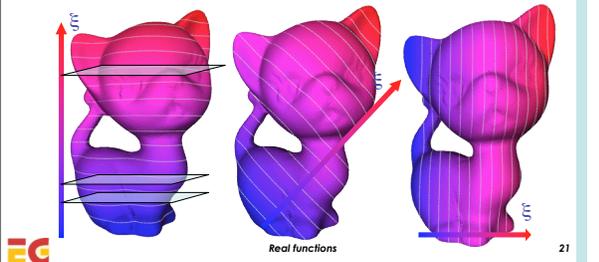


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## Height function [Ban70,FK97]

- Given a direction  $\xi$ , the height function value at  $x \in M$  with respect to  $\xi$  is defined as  $f_{\xi}(x) := \langle x, \xi \rangle$ .
- The level sets correspond to the intersection of the surface with planes orthogonal to the direction  $\xi$ .



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## Height function

- ✓ **Saliency:**  $f$  is able to identify the shape features of  $M$  along the direction  $\xi$ .
- ✓ **Smoothness:**
  - Critical points are points whose normal is parallel to the direction  $\xi$ .
  - Almost all height functions are Morse (ie, the critical points are non degenerate).
- ✓ **Stability:** exact evaluation/computation of  $f$  and interpolation on the faces/edges of  $M$ .



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## Height function

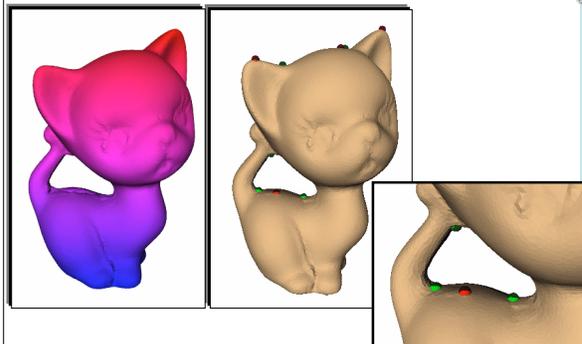
- ✓ **Robustness:** the computation of  $f$  is robust, while its critical points aren't (see example). 
- ✓ **DoF and heuristic:** the choice of  $\xi$ .
- ✓ **Efficiency:** computational cost for computing the function value
  - at one vertex:  $O(1)$
  - on the whole  $M$ :  $O(n)$ , ( $n = \#$  mesh vertices).
- ✓ **Invariance:** the function  $f$  is
  - "invariant" to translations
  - dependent on rotations: the recognized properties depend on the chosen direction.



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## Height function: robustness example



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### Height function: robustness example

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### Elevation [AEHW04]

- ✓ For any point  $x$  of  $M$ , there exists at least one direction  $\xi$  such that  $x$  is a critical point of the height functions  $f_\xi$  and  $f_{-\xi}$ .
- ✓ Then, for every  $\xi \in S^2$ 
  - let  $x, y$  be two critical points of the height function wrt the direction  $\xi$ ,
  - if  $x, y$  are paired according to the topological persistence, then  $\text{pers}(x) = \text{pers}(y) = |f_\xi(y) - f_\xi(x)|^{S_3}$
  - the elevation is defined as  $\text{Elevation}(x) = \text{pers}(x)$ .

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### Elevation

- ✓ **Saliency:**  $f$  identifies the depression and protrusions of  $M$  wrt any normal direction.
- ✓ **Smoothness:**  $f$  is continuous and smooth almost everywhere.
- ✓ **Efficiency:** the overall computational cost for
  - finding the persistence pairs:  $O(n \log^2 n)$
  - classifying critical points:  $O(n^5 \log^2 n)$ .
- ✓ **Invariance:**  $f$  is invariant to translations and rotations.

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### Euclidean distance from a point [FK97]

- ✓  $f(x) := \|x - p\|_2$
- ✓ The level sets correspond to the intersection of the surface with a set of spheres centered at the point  $p$ .
- ✓ Common choices of the point are the barycenter of  $M$ , the center of the bounding sphere or box of  $M$ , etc.

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### Radial distance from a point [SV01]

$f(q) := \max\{r \geq 0 : r(q - p) \in M\}$

In an analogous way,  $f$  can be defined on the unit sphere and used to compute the spherical harmonics of  $f$ .

Euclidean distance from  $p$       Radial distance from  $p$

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### Euclidean distance from a point

- ✓ **Saliency:** maxima and minima are located on protrusions and concavities wrt  $p$ .
- ✓ **Smoothness:** almost all distance functions from a point are Morse.
- ✓ **Stability:** exact computation at the mesh vertices.
- ✓ **Robustness:** the computation of  $f$  is robust, while its critical points aren't (see example).
  - For instance, the distance from the barycenter: due to its dependence on all the vertices, the barycenter is not affected by small perturbations of  $M$ .

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Euclidean distance from a point: robustness example

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Euclidean distance from a point: robustness example

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Euclidean distance from a point

- ✓ **DoF and heuristics:** the point  $p$ .
- ✓ **Efficiency:**  $f$  is computed in  $O(n)$  time.
- ✓ **Invariance:**
  - $f$  is "invariant" to translations and rotations
  - $f$  is suitable to distinguish among different poses.

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Curvature-based function [GCO06,MPS\*04, ZP01]

- ✓ The principal curvatures  $k_1$  and  $k_2$  at a point  $p \in M$  measure the maximum and minimum bending of a surface at  $p$ :
  - the Gaussian curvature  $K = k_1 k_2$
  - the Mean curvature  $H = (k_1 + k_2) / 2$ .
- ✓ According to the sign of the Gaussian curvature, the points of a surface are classified as
  - elliptic
  - hyperbolic
  - parabolic or planar.

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Curvature-based function

- ✓ **Saliency:** is provided by the characterization of the local shape as elliptic, hyperbolic, parabolic/planar.
- ✓ **Smoothness:** related to the differentiability degree of  $M$ .
- ✓ **Stability:** a coarse surface sampling and an irregular connectivity badly affect the discretization of the curvature.
- ✓ **Robustness:** low degree.
- ✓ **DoF and heuristics:** the size of the neighborhood used to compute  $K$  and  $H$ .
- ✓ **Efficiency:** depends on the size of the neighborhood; at least  $O(n)$  wrt the 1-star.
- ✓ **Invariance:**
  - $K$  is intrinsic, ie it is invariant wrt isometries
  - $H$  is extrinsic and depends on the surface embedding.

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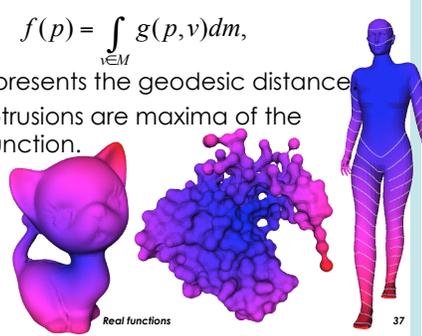
Geodesic distance: definition and properties

- ✓ Given two points  $p, q \in M$ , the geodesic distance  $g(p, q)$  is the length of the shortest path between  $p$  and  $q$ .
- ✓ The geodesic distance is invariant to isometric transformations.
- ✓ The shortest path is not unique.
- ✓ Exact computation in  $O(n^2 \log n)$ .
- ✓ Approximations:
  - Dijkstra [VL99]:  $O(n \log n)$
  - [SSK\*05]:  $O(n \log n)$
  - Fast marching [KS98]:  $O(n)$ .

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### Average geodesic distance [HSKK01]

- ✓ The mapping function is defined as
 
$$f(p) = \int_{v \in M} g(p, v) dm,$$
 where  $g$  represents the geodesic distance.
- ✓ Surface protrusions are maxima of the mapping function.



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### Average geodesic function

- ✓ Discretized using a set of base points  $\{b_1, \dots, b_n\}$  instead of all mesh vertices:
 
$$f(p) = \sum_i g(p, b_i) area(b_i),$$
 where  $area(b_i)$  is the influence region of  $b_i$ .
- ✓ It has been extended to consider also the angle variation along a path [KT03].



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### Average geodesic distance

- ✓ **Saliency:**  $f$  discriminates protrusions of  $M$ .
- ✓ **Invariance:**  $f$  is invariant to isometries, that is, it does not distinguish among different poses of articulated surfaces (eg, humans, animals, etc).



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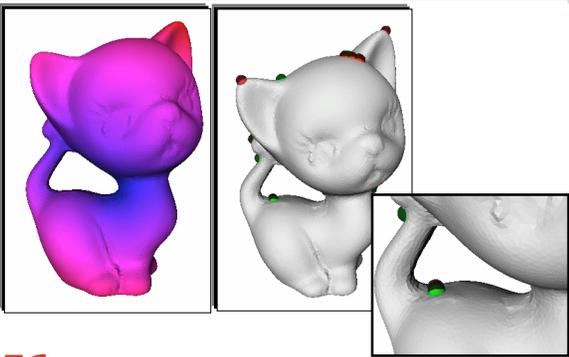
### Average geodesic distance

- ✓ **Smoothness:**  $f$  is smooth.
- ✓ **Stability:**
  - the discretization and computation depend on the chosen algorithm, eg., Dijkstra [VL99], [SSK\*05], fast marching [KS98]
  - generally, a coarse surface sampling and an irregular connectivity affect the discretization of the geodesic distance
  - the instabilities are averaged by the integral in the definition of  $f$ .
- ✓ **Robustness:**  $f$  is robust to local shape changes (see example). 
- ✓ **DoF and heuristics:** choice of the base points used to discretize the integral.
- ✓ **Efficiency:** depends on the discretization and number of base points. It is computationally expensive using the Dijkstra's algorithm with all vertices as base points:  $O(n^2 \log n)$ .



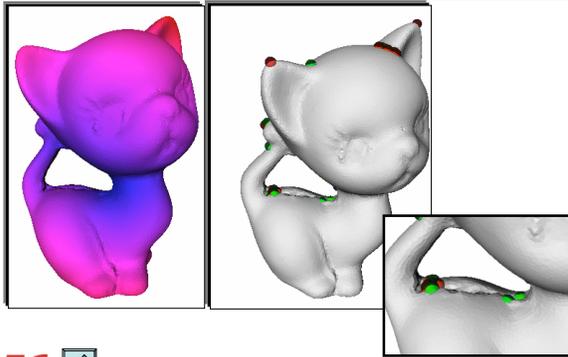
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### Average geodesic distance: robustness example



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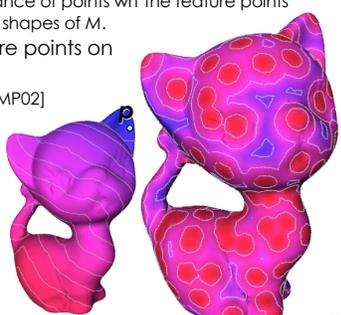
### Average geodesic distance: robustness example



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### Geodesic distance from feature points [MP02,VL99]

- ✓ The geodesic distance can be used to
  - measure the importance of points wrt the feature points
  - characterize tubular shapes of  $M$ .
- ✓ Choice of the feature points on the surface:
  - curvature extrema [MP02]
  - user-defined [VL99]
  - uniform sampling.



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### Topological distance from curvature extrema [MP02]

- ✓ Let  $p$  be the centroid of a high-curvature region, we define
 
$$g_p(q) := \min\{k: q \in k\text{-neighborhood}\}.$$
- ✓ Given  $\{p_1, \dots, p_k\}$   $k$  feature points, we define  $g$  as:
 
$$g(q) := \min\{g_{p_1}(q), \dots, g_{p_k}(q)\}.$$
 and
 
$$f(q) := g_{\max} - g(q).$$



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### Topological & geodesic distance from curvature extrema

- ✓ **Saliency:**  $f$  discriminates protrusions, especially those that include the curvature extrema as feature points.
- ✓ **Smoothness:** low degree.
- ✓ **Stability:**
  - topological distance: since  $f$  is discretized using the connectivity of  $M$ , the neighborhood expansion is computationally stable
  - geodesic distance: the stability of  $f$  is affected by the mesh connectivity.

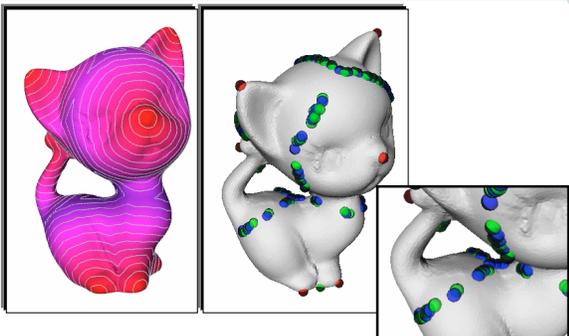
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### Topological & geodesic distance from curvature extrema

- ✓ **Robustness:** the geodesic (resp, topological) distance from feature points is robust wrt small geometric and connectivity (resp, geometric) changes.
- ✓ **DoF and heuristics:** choice of the feature points.
- ✓ **Efficiency:** the computational cost of the topological expansion is  $O(n)$  and  $O(n \log n)$  for the geodesic distance.
- ✓ **Invariance:**
  - topological distance:  $f$  is invariant wrt any transformation that preserves the mesh connectivity
  - geodesic distance:  $f$  is invariant to isometric transformations.

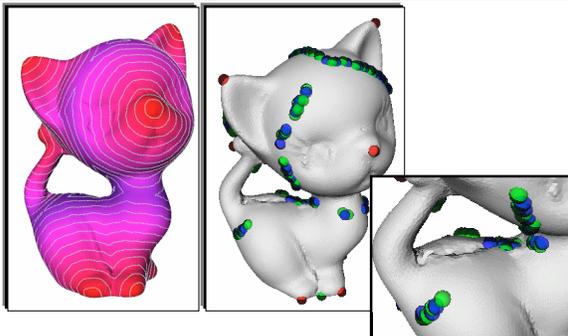
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### Topological distance from curvature extrema: robustness example



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### Topological distance from curvature extrema: robustness example



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### Local diameter shape function [GSC07]

- ✓ On a smooth surface, the *exact diameter* of a shape at a point  $p$  is the distance to the antipodal point of  $p$  wrt the direction opposite to the normal at  $p$ .
- ✓ The *local diameter function* at  $p$ 
  - is a statistical measure of the diameters in a cone around the direction opposite to the normal at  $p$ .
  - requires close

### Local diameter shape function

- ✓ **Saliency:** morphological characterization of the shape in terms of relative size of its parts.
- ✓ **Smoothness:** no guarantees of smoothness for the local shape diameter: it may fail at sites of branching or in particular visibility cones.
- ✓ **Stability:** yes.
- ✓ **Robustness:** robust to deformations that do not locally alter the shape volume.
- ✓ **DoF and heuristics:** no DoF; heuristics drive the statistical sampling of the diameters.
- ✓ **Efficiency:**  $O(n^2)$ .
- ✓ **Invariance:**
  - invariant to translations and rotations
  - Invariance to pose changes is forced by averaging the values of  $f$  at the vertices of  $M$  wrt the values of neighbors.

### Harmonic functions [PP93,TAU00,DBG\*06]

Smooth functions with a (generally) low number of critical points are achieved by solving the Laplace equation with Dirichlet boundary conditions.

$$\begin{cases} \Delta f(p_i) = 0, i \in I & \text{Laplace equation} \\ f(p_i) = \alpha_i, i \in I^C & \text{Dirichlet boundary conditions} \end{cases}$$

$$I \subseteq \{1, \dots, n\} \quad \Delta := \text{div} \circ \nabla$$

interior vertices

### Laplacian matrix of a triangle mesh

$$\Delta f(p_i) := \sum_{j \in N(i)} [f(p_j) - f(p_i)] w_{ij}$$

$$L_{ij} = \begin{cases} \sum_{j \in N(i)} w_{ij} & i = j \\ -w_{ij} & (i, j) \text{ edge} \\ 0 & \text{else} \end{cases} \quad Lf = 0$$

$$\bar{L} \bar{f} = b$$

$L \in \mathbb{R}^{n \times n}, \text{rank}(L) = n - 1$

Sub-matrix of  $L$

Unknown values of  $f$

Know right-hand vector

### Discretization: weights [Flo97,PP93,...]

$$w_{ij} := \begin{cases} \frac{\cot \alpha_{ij} + \cot \beta_{ij}}{2} \\ \frac{\tan(\delta_{ij}^{(1)}/2) + \tan(\delta_{ij}^{(2)}/2)}{\|p_j - p_i\|_2} \\ \frac{1}{d_i} \\ 1 \end{cases}$$

### Harmonic functions: locality

$m = 1, M = 1, s = 2$

$m = 2, M = 2, s = 4$

$m = 3, M = 3, s = 6$

### Harmonic functions

- ✓ **Saliency:**
  - the choice of the maxima and minima of  $f$  (→Dirichlet conditions) on feature regions guarantees their characterization through  $(M, f)$
  - topological saliency: if  $f$  has only 1 min and 1 max, then the saddle points are located on the topological handles of  $M$ .
- ✓ **Smoothness:**
  - the number of critical points depends on the Dirichlet boundary conditions and the genus of the input surface
  - using as Dirichlet boundary conditions 1 max & 1 min guarantees to build a harmonic function  $f$  with a minimal number of critical points (ie,  $2g$  saddles)
  - $f \in C^2(M, \mathbf{R})$ .


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### Harmonic functions

- ✓ **Stability:** the Laplace operator is local and uses only the 1-star of each vertex. Numerical instabilities might be introduced by its discretization:
  - the cotangent weights might be negative:  $\alpha_{ij} + \beta_{ij} > \pi$
  - the mean-value weights are always positive and more stable than the cotangent weights.
- ✓ **Robustness:** the computation and the properties of  $f$  are robust wrt changes of the surface and connectivity that do not make unstable the discretization of the Laplace operator (see example).


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### Harmonic functions: robustness

✓ Harmonic functions with the same Dirichlet boundary conditions: different postures of the same shape.




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### Harmonic functions

- ✓ **DoF and heuristics:** the choice of the Dirichlet boundary conditions.
- ✓ **Efficiency:**
  - solution of a sparse linear system  $O(n \log n)$
  - changing the Dirichlet boundary conditions does not require to re-build the Laplacian matrix.
- ✓ **Invariance:**
  - $f$  is invariant wrt isometries
  - with constant weights,  $f$  is affine invariant.


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### Eigensystem of the Laplacian matrix [NGH04,RWP06]

- ✓ The spectrum of the Laplacian matrix associated to  $M$  enables to define a set of functions "intrinsically" defined by the input shape.
- ✓ Since  $L$  is symmetric, it has a real eigensystem

$$Lx_i = \lambda_i x_i, \quad i = 1, \dots, n$$

and

$$\forall y \in \mathbf{R}^n, \quad y = \sum_{i=1}^n \alpha_i x_i.$$

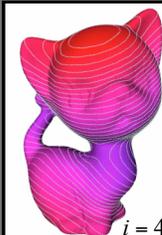

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### Spectrum of the Laplacian Matrix

- ✓ Eigenvalues:
 
$$0 = \lambda_1 \leq \lambda_2 \leq \dots \leq \lambda_n$$
- ✓ Eigenvectors:
 
$$(x_i, \lambda_i), \quad Lx_i = \lambda_i x_i$$
- ✓ i-th function
 
$$f_i : M \rightarrow \mathbf{R}$$

$$f_i(p_k) = \sqrt{\lambda_i} [x_i]_k$$

$$i = 2, \dots, n$$




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### Laplacian eigenfunctions: examples

✓ Large set of smooth eigenfunctions with a "generally" low number of critical points.

$f_2$   $f_3$   $f_4$

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### Laplacian eigenfunctions: examples

$f_{10}$   $f_{20}$   $f_{30}$   $f_{40}$

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### Laplacian eigenfunctions

✓ Nodal set: zero-set of an eigenvector:

- subdivides  $M$  into (nodal) regions where the eigenvector has constant sign
- $x_k$  has at last  $k$  nodal regions
- nodal sets are curves which intersect at constant angles.

$f_4$   $f_6$

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### Eigenfunction switch

✓ Generally, the numerical computation of the Laplacian spectrum may switch the order of some eigenvalues/eigenvectors (see examples & appendix).

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### Eigenfunction switch

$\lambda_{21} = 0.011382$   $\lambda_{22} = 0.011290$

$\lambda_{21} > \lambda_{22}$

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### Eigenfunction switch

$\lambda_{37} = 0.015696$   $\lambda_{38} = 0.015493$

$\lambda_{39} = 0.020054$   $\lambda_{40} = 0.020038$

$\lambda_{37} > \lambda_{38}$   $\lambda_{39} > \lambda_{40}$

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### Eigenfunction switch

$\lambda_{65} > \lambda_{66}$

$\lambda_{86} > \lambda_{87}$

EG

### Laplacian- eigenfunctions

- ✓ **Saliency:** each function is intrinsically defined by  $M$ .
- ✓ **Smoothness:** the first eigenvectors correspond to smooth and slowly varying functions, while the last ones show rapid oscillations.
- ✓ **Stability:**
  - the discretization of the Laplace operator is local and uses only the 1-star of each vertex
  - numerical instabilities might be introduced by its discretization
  - the switch of the eigenfunctions might happen regardless the mesh discretization.

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### Laplacian eigenfunctions

- ✓ **Robustness:** the computation and the properties of  $f$  are robust wrt changes of the surface and connectivity that do not make unstable the discretization of the Laplace operator (see examples).
- ✓ **DoF and heuristics:**
  - choice of  $f_i$  among  $(n-1)$  non-trivial functions
  - sign of the eigenvectors.
- ✓ **Efficiency:**  $O(n \log n)$ ,  $O(n^2)$  depending on the sparsity of  $L$ .
- ✓ **Invariance:**
  - $f$  is invariant wrt isometries
  - with constant weights,  $f$  is affine invariant.

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### Laplacian eigenfunctions: robustness (critical points)

$m \quad M \quad s$

$f_i$   $f_i$

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### Laplacian eigenfunctions: robustness (level sets)

$f_2$   $f_3$

$f_2$   $f_3$

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### Laplacian eigenfunctions: robustness (level sets)

$f_4$   $f_5$

$f_4$   $f_5$

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## Appendix: Perturbation Theory for Eigenvalues and Eigenvectors [GV89]

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### Perturbation theory: general case

- ✓ Right eigenvector  $Ax = \lambda x$
- ✓ Left eigenvector  $y^* A = \lambda y^*$
- ✓ If  $A$  is diagonalizable,  $y_i^* x_j = 0, i \neq j$

- ✓ Consider the matrix

$$A_\varepsilon := A + \varepsilon B, \quad |b_{ij}| \leq 1$$

with right eigenvector  $x_i(\varepsilon)$  and eigenvalue  $\lambda_i(\varepsilon)$ .

**Pb:** Which relation exists between the eigensystem of  $A, A_\varepsilon$ ?



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### Eigenvalue perturbation: general case

- ✓ For each eigenvalue, the following relation holds

$$\left| \frac{\lambda_i(\varepsilon) - \lambda_i}{\varepsilon} \right| \rightarrow \frac{\|B\|_2}{s(\lambda_i)}, \quad s(\lambda_i) := |y_i^* x_i|.$$

- ✓ Then, the above estimation is:
  - proportional to the  $l_2$ -conditioning number of the perturbation matrix  $B$
  - inversely proportional to the angle between the left and right eigenvectors.



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### Eigenvalue perturbation: general case

- ✓ We note that

$$s(\lambda_i) = \underbrace{|y_i^* x_i|}_{\geq 0} \leq \|y_i\|_2 \|x_i\|_2 = 1.$$

- ✓ The term  $s(\lambda_i)^{-1}$  is called **conditioning number** of the eigenvalue  $\lambda_i$ .
- ✓ Then, an eigenvalue is **well-conditioned** iff its conditioning number is not close to zero.



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### Eigenvalue perturbation: Laplacian matrix

- ✓ If the input surface is closed (or with boundary + virtual edges), the Laplacian matrix is symmetric and

$$y_i \equiv x_i, \quad s(\lambda_i) = 1, \quad i = 1, \dots, n.$$

- ✓ Each eigenvalue is well-conditioned and

$$\left| \frac{\lambda_i(\varepsilon) - \lambda_i}{\varepsilon} \right| \rightarrow \|B\|_2, \quad \varepsilon \rightarrow 0.$$

- ✓ The variation of the eigenvalues depends only on the  $l_2$ -norm of the perturbation matrix  $B$ .



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### Eigenvector perturbation: general case

- For the  $i$ -th eigenvector, we have
 
$$\|x_i(\varepsilon) - x_i\|_2 \leq \varepsilon \sum_{j \neq i} \left| \frac{y_j^* B x_i}{(\lambda_i - \lambda_j) s(\lambda_j)} \right| + O(\varepsilon^2).$$
- Then, the bound depends on:
  - the conditioning number of **each** eigenvalue  $s(\lambda_i)$
  - the differences  $\lambda_i - \lambda_j$
  - the factors  $\beta_{ij} := y_j^* B x_i$ .

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### Eigenvector perturbation: general case

- The perturbation in the eigenvector is proportional to the conditioning number of the whole set of eigenvalues.
- If the eigenvalues are close to one another, we may have difficulties in computing the eigenvectors.
- Let  $A$  have distinct eigenvalues. If for some eigenvalue  $s(\lambda) < 1$ , then there exists a matrix  $E$  such that  $\lambda$  is a repeated eigenvalue of  $(A+E)$  and
 
$$\frac{\|E\|_2}{\|A\|_2} \leq \frac{s(\lambda)}{\sqrt{1 - s(\lambda)^2}}.$$

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### The perversity theorem does not hold

- Then, even if the eigenvalues are distinct, if one eigenvalue is ill-conditioned, the computation of the eigenvalues, and especially the eigenvectors, may be very difficult.

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### Jacobi iterations and stop criteria

- The (first or last) elements of the eigensystem of the input matrix are evaluated by using the Jacobi method with 2 stop criteria:
  - max. number of iteration  $N_{\max}$
  - approximation threshold  $\alpha$ .
- Increasing  $N_{\max}$  and reducing  $\alpha$  do not avoid the switching of eigenvalues and eigenvectors.

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### Jacobi iterations and stop criteria

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### Eigenfunction "switch" on different shapes

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### Eigenfunction switch: discussion

- ✓ The switch of the eigenfunctions
  - can happen among the eigenfunctions of the same surface;
  - is strictly correlated to the computation of the eigensystem;
  - a “good” geometry and connectivity (wrt the computation of the entries of  $L$ ) do not guarantee to avoid the switch of the eigenfunctions.



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### Questions?



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